Dkt. 1584/65397

#### THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Randy PRAGER, Peter SPARAGO, Stephen MARCAURELE and David GELERNTER

Serial No.: 09/892,385

Group Art Unit: 2177

Filed: June 26, 2001

Examiner: Kuen S. Lu

For: DESKTOP, STREAM-BASED, INFORMATION MANAGEMENT SYSTEM

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

1185 Avenue of the Americas New York, N.Y. 10036 (212) 278-0400

OFFICE OF PETITIONS

**HECEINED** 

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

date: 05/17/2005 AKELLEY MBERHE 00000019 033125 1020.00 CR

Paul Teng Reg. No. 40,837

09092383

Sir:

#### PETITION TO REVIVE UNINTENTIONALLY ABANDONED APPLICATION UNDER 37 C.F.R. §1.137(b)

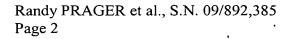
This Petition is submitted to revive the above-identified application under 37 C.F.R. §1.137(b).

An Office Action dated December 31, 2003 was issued by the United States Patent and Trademark Office (PTO) in connection with this application. A response to the December 31, 2003 Office Action was originally due March 31, 2004, with an extension of time of three month (i.e. until June 30, 2004) available.

A Notice Of Abandonment dated October 29, 2004 was issued by the PTO, indicating that this application became abandoned because no reply was received by the PTO to the December 31, 2003 Office Action. A copy of the October 29, 2004 Notice Of Abandonment is attached as Exhibit A hereto.

Since this Petition under 37 C.F.R. §1.137(b) is being submitted within two months of the

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October 29, 2004 Notice Of Abandonment, the Petition is being timely filed.

#### Requirements of Petition to Revive

A petition under 37 C.F.R. §1.137(b) must be accompanied by:

- (1) the required reply, unless it has been previously filed;
- (2) the petition fee set forth in 37 C.F.R. §1.17(m);
- (3) a statement that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to this paragraph was unintentional; and
- (4) any terminal disclaimer (and fee as set forth in §120(d)) required pursuant to 37 C.F.R. §1.137 (c) for a utility application filed before June 8, 1995.

#### Required Reply

This Petition is being submitted concurrently with an Amendment in response to the December 31, 2003 Office Action and a Petition For Extension Of Time (of three months). Accordingly, it is believed that the required reply is being submitted herewith.

#### Petition Fee

The fee for a Petition under 37 C.F.R. §1.137(b) is \$1,500.00 and authorization is hereby given to charge the amount of this Petition fee to Deposit Account No. 03-3125. If any additional fee is required in connection with the filing of this Petition, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 03-3125.

#### Statement That Delay Was Unintentional

An Assignment of this application by Randy Prager, Peter Sparago, Stephen MarcAurele and David Gelernter to Mirror World Techologies, Inc., 121 Whitney Avenue, Suite 102, New Haven, Connecticut 06510 was recorded by the PTO on June 5, 2002 at Reel 12970, Frame 0979. A copy of the Notice Of Recordation issued by the PTO is attached as **Exhibit B** hereto.

A Declaration Of Lou Nemeth is attached as **Exhibit C** hereto, containing a statement that the entire delay in filing the required reply, i.e. response to the December 31, 2003 Office

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Action, until the filing of a grantable petition pursuant to 37 C.F.R. §1.137(b), was unintentional.

#### Terminal Disclaimer

Because this application was filed after June 8, 1995, 37 C.F.R. §1.137(c) does not require any terminal disclaimer to be filed.

In view of the foregoing, Applicant earnestly solicits an expeditious revival of the subject application.

Respectfully submitted,

Paul Teng, Reg. No. 40,837

Attorney for Applicant Cooper & Dunham LLP

1185 Avenue of the Americas

New York, New York 10036

(212) 278-0400

	O I P Application No.	Applicant(s)	
·	909/892,385	PRAGER ET AL. DES	:/W
Notice of Abandonn	nent Examiner	Art Unit	200
<b>F</b>	Kuen S Lu U Lu	2167 OFFICE OF PE	004
The MAILING DATE of this o	communication represents on the cover sheet with	the correspondence address-	770
This application is abandoned in view of:	Pefit	ion to Revive: 12/2/04	
(a) A reply was received on (v	per reply to the Office letter mailed on <u>31 December</u> with a Certificate of Mailing or Transmission dated extension of time of month(s)) which expire	r 2003. ), which is after the expiration of the don	
	n, but it does not constitute a proper reply u		on.
application in condition for allowa Continued Examination (RCE) in		fee); or (3) a timely filed Request for	
(c) A reply was received onb final rejection. See 37 CFR 1.85(	ut it does not constitute a proper reply, or a bona fi (a) and 1.111. (See explanation in box 7 below).	de attempt at a proper reply, to the non-	
(d) 🛛 No reply has been received.		NOV - 2 2004	
from the mailing date of the Notice of	equired issue fee and publication fee, if applicable, f Allowance (PTOL-85).	MAL	
(a) The issue fee and publication fe	ee, if applicable, was received on (with a Country period for payment of the issue	Certificate of Mailing or Transmission da	ited e of
(b) The submitted fee of \$ is in	nsufficient. A balance of \$ is due.		1
The issue fee required by 37 Cl	FR 1.18 is \$ The publication fee, if required	by 37 CFR 1.18(d), is \$	
(c) The issue fee and publication fee	, if applicable, has not been received.		
<ol> <li>Applicant's failure to timely file correc Allowability (PTO-37).</li> </ol>	cted drawings as required by, and within the three-r	nonth period set in, the Notice of	
(a) ☐ Proposed corrected drawings we after the expiration of the period f	re received on (with a Certificate of Mailing for reply.	or Transmission dated), which is	1
(b) No corrected drawings have been	n received.		
4. The letter of express abandonment we the applicants.	which is signed by the attorney or agent of record, t	he assignee of the entire interest, or all o	of
5. The letter of express abandonment v 1.34(a)) upon the filing of a continuin	which is signed by an attorney or agent (acting in a ng application.	representative capacity under 37 CFR	
6. The decision by the Board of Patent of the decision has expired and there	Appeals and Interference rendered on and are no allowed claims.	pecause the period for seeking court rev	iew
7. 🛛 The reason(s) below:			
A telephone call was made to the No confirmation or response on the	e Applicant's representative (Ivan Kavrukov, R he potential abandment of the application was	egistration No. 25,161) on 10/28/200 received.	04.
		Jule & Wassum	•
Petitions to revive under 37 CFR 1.137(a) or (b) minimize any negative effects on patent term.	), or requests to withdraw the holding of abandonment ur	der 37 CFR 1.181, should be promptly filed to	ю.
U.S. Patent and Trademark Office PTOL-1432 (Rev. 04-01)	Notice of Abandonment	Part of Paper No. 102804-8923	85



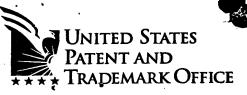
### UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/892,385	06/26/2001	Randy Prager	1584/65397	2918	
23432	7590 10/29/2004		EXAMINER		
COOPER & DUNHAM, LLP 1185 AVENUE OF THE AMERICAS			LU, KI	LU, KUEŅ S	
	C, NY 10036		ART UNIT	PAPER NUMBER	
			2167		

DATE MAILED: 10/29/2004

Please find below and/or attached an Office communication concerning this application or proceeding.



COOPER & DUNHAM LLP

IVAN S. KAVRUKOV, ESQ.

NEW YORK, N.Y. 10036



# OFFICE OF PETITIONS

AUGUST 12, 2002

1185 AVENUE OF THE AMERICAS AUG 1 9 2002

Chief Information Officer Washington, DC 20231 www.uspto.gov



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#### UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/05/2002

REEL/FRAME: 012970/0979

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

PRAGER, RANDY

DOC DATE: 04/23/2002

ASSIGNOR:

SPARAGO, PETER

DOC DATE: 04/23/2002

ASSIGNOR:

MARCAURELE, STEPHEN

DOC DATE: 04/23/2002

ASSIGNOR:

GELERNTER, DAVID

DOC DATE: 04/22/2002

ASSIGNEE:

MIRROR WORLDS TECHNOLOGIES, INC. 121 WHITNEY AVENUE, SUITE 102 NEW HAVEN, CONNECTICUT 06510

SERIAL NUMBER: 09892385

PATENT NUMBER:

FILING DATE: 06/26/2001

ISSUE DATE:



012970/0979 PAGE 2

ANTIONE ROYALL, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS



Dkt. 1584/65397

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Randy PRAGER et al.

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Examiner: Kuen S. Lu

DEC 2 3 2004

OFFICE OF PETITIONS

For: DESKTOP, STREAM-BASED, INFORMATION MANAGEMENT SYSTEM

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SIR:

## DECLARATION OF LOUNEMETH

I, Lou Nemeth, hereby declare that:

- 1. I currently reside at 799 Whitney Avenue, New Haven, Connecticut 06511.
- 2. For the time period of April, 2001 through May, 2004, I was Senior Vice President, Research and Development, at Mirror World Techologies, Inc., 121 Whitney Avenue, Suite 102, New Haven, Connecticut 06510 ("MWT"). Amongst my many duties, I, on behalf of MWT, interfaced with MWT's patent counse.
- In or about January, 2004, I received a copy of an Office Action dated December 31, 2003 issued by the United States Patent and Trademark Office in connection with the above-identified application. After receiving the December 31, 2003 Office Action, I intended to provide Applicant's patent attorneys with instructions for responding to the Office Action. It is my understanding now that a response to the December 31, 2003 Office Action was originally due March 31, 2004.

Randy PRAGER et al., S.N. 09/892,385 Page 2

- 4. However, I inadvertently lost track of the due date for responding to the December 31, 2003 Office Action, and unintentionally did not provide Applicant's patent attorneys with instructions for responding to the Office Action, before the due date for responding to the Office Action.
- 5. The entire delay in filing Applicant's response to the December 31, 2003 Office Action, upto the filing of the petition with which this Declaration is being submitted concurrently to revive the application, was unintentional.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: 12/16/04

Lou Nemeth